

## Mapping the backbone of science

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### Abstract

This paper presents a new map representing the structure of all of science, based on journal articles, including both the natural and social sciences. Similar to cartographic maps of our world, the map of science provides a bird's eye view of today's scientific landscape. It can be used to visually identify major areas of science, their size, similarity, and interconnectedness. In order to be useful, the map needs to be accurate on a local and on a global scale. While our recent work has focused on the former aspect<sup>1</sup>, this paper summarizes results on how to achieve structural accuracy.

Eight alternative measures of journal similarity were applied to a data set of 7,121 journals covering over 1 million documents in the combined Science Citation and Social Science Citation Indexes. For each journal similarity measure we generated two-dimensional spatial layouts using the force-directed graph layout tool, VxOrd. Next, mutual information values were calculated for each graph at different clustering levels to give a measure of structural accuracy for each map. The best co-citation and inter-citation maps according to local<sup>1</sup> and structural accuracy were selected and are presented and characterized. These two maps are compared to establish robustness. The inter-citation map is then used to examine linkages between disciplines. Biochemistry appears as the most interdisciplinary discipline in science.

### Introduction

About 40 years ago, Derek J. deSolla Price<sup>2</sup> suggested studying science using the scientific methods of science. Since then, research in bibliometrics and scientometrics has developed techniques to analyze publication data sets. Most of the early work focused on identifying networks or clusters of authors, papers, or references<sup>3-5</sup>. Alternative methods based on co-word analysis were developed to identify semantic themes<sup>6</sup>. Advances in computing capabilities facilitate the analysis of large-scale document data sets. Recent progress in visualization techniques have added the ability to visualize knowledge domains<sup>7</sup>. The map that we present here – a map of the backbone of science at the journal level – is an extension of this stream of research.

Our interest in mapping science stems from a desire to understand the inputs, associations, flows, and outputs of the Science and Technology (S&T) enterprise in a detailed manner that will help us guide that enterprise (or at least that portion of it operating in our institutions) in more fruitful directions. A science map can be an ideal tool for this task if constructed correctly. In the physical world, maps help us to understand our environment – where we are, what is around us, and the relationships between neighboring things. By knowing about our surroundings, we are given more information by which to anticipate changes, especially those initiated in our immediate vicinity. Maps also provide a physical (geographical) structure for comparisons of metrics, such as census figures, vote tabulations, or average temperatures. Plus, maps help us navigate the landscape.

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Our interest in disciplinary maps (e.g. mapping journals instead of authors, papers or text) stems from the desire to help the senior R&D manager understand their enterprise and navigate their relevant environment. Most large research laboratories and universities are organized along disciplinary departments. Disciplinary maps help the managers and administrators in these organizations understand the organization's environment in terms that are familiar and useful to managers. Potential actions on these maps (e.g. exploring new territory or reducing resources in existing territory) have a direct relationship to decisions that these managers must make.

It is important that a science map be as accurate as possible when used in a decision-making context within the S&T enterprise. Use of an inferior map can result in misallocation of funding. We do not advocate the use of science maps alone as a basis for funding decisions, but suggest that they should be used in concert with other well-established processes such as peer review. To allow our maps to be used in the decision-making process, we have embarked on a project to make them as accurate as possible. By accuracy, we mean that journals within the same subdiscipline should be grouped together, and groups of journals that cite each other should be proximate to each other on the map. The first results from this effort, dealing with local accuracy, appeared recently<sup>1</sup>. By contrast, this paper focuses on structural accuracy and characterization of the map defining the structure or backbone of science. The paper will proceed with a review of related work, a discussion of the data, similarity measures, and processing and analysis methods. We conclude with analytical results and a characterization of the backbone of science as it exists today.

## Related Work

Most maps of science have been generated from rather small static data sets (hundreds to thousands of nodes) and for rather limited knowledge domains. Very few studies have undertaken a mapping of the whole of science. Early work on mapping science focused on citation or co-citation linkages between papers. Pioneering examples include the historical map of research in DNA<sup>4</sup> and the mapping of scientific networks<sup>2</sup>. Garfield<sup>5</sup> constructed a map of science based on co-citation linkages associated with 93,800 source documents and 867,600 referenced documents published in 1972. After thresholding, this map clustered 1,832 papers (of the original 94k) into 51 clusters. ISI continued studies in this area over the years, the most recent of which shows a map representing the whole of science using the citation linkages of 36,720 documents placed into 35 high level clusters<sup>8</sup>. For a good historical review of the changes in how science has been mapped over the years, see the recent work by Moya-Anegón and associates<sup>9</sup>.

Journals are a unit of analysis that allows one to understand how science is organized at an aggregated level<sup>10</sup>. ISI has published the Journal Citation Reports (JCR) for many years now, compiling citation counts between journal pairs that allow for studies of the structure of science. Published journal-based maps have typically been focused on single disciplines, and have used a Pearson correlation on co-citation counts with multidimensional scaling (MDS)<sup>11-16</sup>. Other discipline-level studies not using the Pearson/MDS technique include the use of relative inter-citation counts with MDS by Leydesdorff<sup>17, 18</sup>, the use of a self-organizing map by Campanario<sup>19</sup>, and the work by Tijssen and van Leeuwen to include non-ISI journals in their maps using journal content mapping<sup>20</sup>.

Several more recent works have mapped journals on a larger scale. Bassecouard and Zitt<sup>21</sup> produced a hierarchical journal structure using data from the 1993 JCR. Using a symmetrical Ochiai index on journal citation counts and hierarchical clustering for roughly 2000 journals, they created a map with two levels of structure, comprising 32 disciplines and 141 specialties within those disciplines. Leydesdorff has used the 2001 JCR data to map 5,748 journals from the Science Citation Index (SCI)<sup>22</sup> and 1,682 journals from the Social Science Citation Index (SSCI)<sup>23</sup> in two separate studies. In both studies Leydesdorff uses a Pearson correlation on citing counts as the edge weights and the Pajek program

for graph layout, progressively lowering thresholds to find articulation points (i.e., single points of connection) between different network components. These network components are his journal clusters. The only potential drawback to this solution is that as thresholds are lowered, newly identified small components (presumably two or three journals each) are dropped from the solution space, so that the total number of journals comprising Leydesdorff's clusters is substantially less than the number in the original set. Some may actually consider this an advantage since the clusters are pared down to only those journals that are most central to their respective fields.

An alternative to using journals to map the structure of science has recently been investigated by Moya-Anegón and associates<sup>9</sup> to good effect. Using 26,062 documents with a Spanish address from the year 2000 as a base set, they used co-cited ISI category assignments to create category maps. Their highest level map shows the relative positions, sizes and relationships between 25 broad categories of science in Spain. It would be interesting to see if the same relationships would hold for a map based on the documents from all countries; however, this comparison was not made.

Our work builds on these previous efforts in that we map over 7,000 journals from the SCI and SSCI in an integrated fashion, thus mapping the whole of science.

## Process

The general process followed by most practitioners for creating knowledge domain maps has been explained in detail elsewhere<sup>7</sup>. This process can vary slightly depending upon the specific research question, but typically contains the following steps: 1) selection of an appropriate data source 2) selection of a unit of analysis (e.g. paper, journal, etc.) and extraction of the necessary data from the selected source, 3) choice of an appropriate similarity measure and calculation of similarity values, 4) creation of a data layout using a clustering or ordination algorithm, and 5) exploration of the map based on the data layout as a means of answering the original research questions. Here, we add another step after 4) – statistical validation – that allows us to choose the similarity measure that produces the most accurate map.

## Data

Given our goal to map the local and global structure of all of science, the best *sources* are the databases provided by the Institute of Scientific Information (ISI). Although the SCI and SSCI are known to lack many national and regional journals, cover mostly English language journals, and do not cover the conference and workshop proceedings predominant in some fields (e.g., Computer Science), they still provide the best basis for attempting to map science in existence today. This is due to ISI's broad coverage and inclusion of high-quality citation data. As for the *unit of analysis*, journals are a natural choice because journal sets are associated with disciplines (the unit of analysis of importance to R&D managers). In terms of *similarity measures*, we are interested in using measures based on journal inter-citation and co-citation frequencies. While the Journal Citation Reports (JCR) published by ISI provides inter-citation frequencies, they do not contain journal co-citation frequencies. ISI journal categories could also be used to determine the similarity of journals. However, we decided to use the ISI category assignments as a basis for comparing different citation-based similarity measures.

It can be argued as to whether ISI provides the best available journal categorization. Yet, it has been constructed manually using both journal subject content and citation information<sup>24, 25</sup>, and thus represents a human judgment that can be considered as a high-quality, if outdated and imperfect, standard of comparison. Our maps and analysis based on citation patterns, presented later in this paper, show that the ISI categories do not reflect current groupings in many cases. However, there are many more cases where correspondence between journal clusters and ISI categories is very good.

Based on these considerations, we obtained the complete set of 1.058 million records from 7,349 separate journals from the combined SCI and SSCI files for the year 2000. Of the 7,349 journals, analysis

was limited to the 7,121 journals that appeared as both citing and cited journals. There were a total of 23.08 million references from the 1.058 million records, of which roughly 30% could not be assigned (on the cited side) to any of the 7,121 journals, leaving a total of 16.24 million references between pairs of the 7,121 journals. Journal inter-citation frequencies were directly counted from the citing and cited journal information in these 16.24 million reference pairs. The resulting journal-journal inter-citation frequency matrix was extremely sparse (98.6% of the matrix has zeros). Journal co-citation frequencies were also directly calculated from the 16.24 reference pairs using co-occurrence of citing papers, and subsequent summing of co-citation counts by journal pairs. While there was a great deal more co-citation frequency information, the journal-journal co-citation frequency matrix was also sparse (93.6% of the matrix has zeros).

We note that most previous studies of the relationship between journals have used data from the Journal Citation Reports (JCR) published by ISI. The JCR were not used here because, while they do contain inter-citation frequencies, co-citation frequencies based on paper-level co-occurrences of references cannot be derived from anything but the original reference lists. The inter-citation frequencies used here are very similar to the 2000 JCR numbers. Any differences are small and are due to differences between ISI's link-finding algorithms and our own. Hence our results can be partially compared with previous studies by other authors.

This dataset is identical to that used in our recent study of local accuracy<sup>1</sup>.

For the purpose of map validation we also retrieved the ISI journal category assignments. For the combined SCI and SSCI, there were a total of 205 unique categories. Including multiple assignments, the 7,121 journals were assigned to a total of 11,308 categories, or an average of 1.59 categories per journal.

### *Similarity Measures*

We created eight maps based on different measures of journal-journal relatedness. Five are based on journal inter-citation frequencies and three are based on co-citation frequencies.

The five inter-citation measures include one unnormalized measure, raw frequency (*IC-Raw*); and four normalized measures, Cosine (*IC-Cosine*), Jaccard (*IC-Jaccard*), Pearson's r (*IC-Pearson*), and the recently introduced average relatedness factor of Pudovkin and Garfield<sup>25</sup> (*IC-RFavg*). Of the four normalized measures, only the Pearson is vector-based. We note that a Cosine, as strictly formulated, is also a vector measure<sup>26</sup>. However, we have chosen to use a very simple index version of a cosine-type (meaning normalized by square roots of row sums) measure as our *IC-Cosine*. Our previous experience has shown it to work very well<sup>1</sup>. This measure should thus not be thought of as a simplified version of the vector cosine, but rather as a very simple index measure analogous in form to a cosine. We note that the *IC-Jaccard* measure differs from our *IC-Cosine* only in the normalization. The *IC-RFavg* is another index measure. Equations for all five measures are given below and further discussion of their differences and relative effects is given in Klavans & Boyack<sup>1</sup>.

$$IC-Raw \quad RAW_{i,j} = RAW_{j,i} = C_{i,j} + C_{j,i} \quad ,$$

$$IC-Cosine \quad COS_{i,j} = COS_{j,i} = \frac{(RAW_{i,j})}{\sqrt{\sum_{k=1}^n C_{i,k} \sum_{k=1}^n C_{j,k}}} \quad ,$$

$$IC-Jaccard \quad JAC_{i,j} = JAC_{j,i} = \frac{(RAW_{i,j})}{\sum_{k=1}^n C_{i,k} + \sum_{k=1}^n C_{j,k} - (RAW_{i,j})} \quad ,$$

$$IC\text{-Pearson} \quad r_{i,j} = \frac{\sum_{k=1}^n (RAW_{i,k} - \overline{RAW}_i)(RAW_{j,k} - \overline{RAW}_j)}{\sqrt{\sum_{k=1}^n (RAW_{i,k} - \overline{RAW}_i)^2 \sum_{k=1}^n (RAW_{j,k} - \overline{RAW}_j)^2}},$$

$$\text{where } \overline{RAW}_i = \frac{1}{n} \sum_{k=1}^n RAW_{i,k}, \quad k \neq i,$$

$$IC\text{-RFavg} \quad RFA_{i,j} = RFA_{j,i} = (RF_{i,j} + RF_{j,i}) / 2,$$

$$\text{where } RF_{i,j} = 10^6 * C_{i,j} / \left( N_j \sum_{k=1}^n C_{i,k} \right).$$

In each of the equations  $C_{i,j}$  is the number of times journal  $i$  (file year 2000) cites journal  $j$  (all years),  $N_i$  is the number of papers published in journal  $i$  in current year (in this case the 2000 file year), and  $n$  is the number of journals. For all five inter-citation similarity measures, we limited the calculation to those journal pairs for which  $RAW_{i,j} > 0$ . This is obvious for those measures with  $C_{i,j}$  or  $RAW_{i,j}$  in their numerator, in that the calculated similarity will be zero for  $RAW_{i,j} = 0$ . However, this is not the case for the Pearson, which often has a non-zero result when  $RAW_{i,j} = 0$ . Note also that for our calculation of the Pearson correlations, we treat the diagonal as missing, a policy that is followed by most authors.

The three co-citation measures include one unnormalized measure, raw frequency (*CC-Raw*); the vector-based Pearson's  $r$  (*CC-Pearson*), and a new normalized frequency measure<sup>1</sup> that we call K50 (*CC-K50*). This new measure, K50, is simply a cosine-type value minus an expected cosine value.  $E_{i,j}$  is the expected value of  $F_{i,j}$ , and varies with  $S_j$ , thus K50 is asymmetric and  $E_{ij} \neq E_{ji}$ . Subtraction of an expected value component tends to accentuate 'higher than expected' relationships between two small journals or between a small and a large journal, and discounts 'lower than expected' relationships between large journals. We thus expect the K50 measure to do a better job than other measures of accurately placing small journals, and to reduce the influence of large and multidisciplinary journals on the overall map structure.

$$CC\text{-Raw} \quad F_{i,j},$$

$$CC\text{-Pearson} \quad r_{i,j} = \frac{\sum_{k=1}^n (F_{i,k} - \overline{F}_i)(F_{j,k} - \overline{F}_j)}{\sqrt{\sum_{k=1}^n (F_{i,k} - \overline{F}_i)^2 \sum_{k=1}^n (F_{j,k} - \overline{F}_j)^2}},$$

$$\text{where } \overline{F}_i = \frac{1}{n} \sum_{k=1}^n F_{i,k}, \quad k \neq i,$$

$$CC\text{-K50} \quad K50_{i,j} = K50_{j,i} = \max \left[ \frac{(F_{i,j} - E_{i,j})}{\sqrt{S_i S_j}}, \frac{(F_{j,i} - E_{j,i})}{\sqrt{S_i S_j}} \right],$$

where the expected value of the cosine  $E_{i,j} = \frac{S_i S_j}{(SS - S_i)}$  ,

$$S_i = \sum_{j=1}^n F_{i,j}, \quad j \neq i ,$$

$$\text{and } SS = \sum_{i=1}^n S_i ,$$

In all three co-citation measures  $F_{i,j}$  is the frequency of co-occurrences of journal  $i$  and journal  $j$  in reference documents (from the combined reference lists of the file year 2000 data), and  $n$  is the number of journals. For these measures, we limited the calculation to those journal pairs for which  $F_{i,j} > 0$ .

### **Map Layout**

There are a number of different techniques used for dimension reduction that result in a map layout. The most commonly used reduction algorithm is multidimensional scaling; however, its use has typically been limited to data sets on the order of tens or hundreds of items. Factor analysis is another method for generating measures of relatedness. In a mapping context, it is most often used to show factor memberships on maps created using either MDS or pathfinder network scaling, rather than as the sole basis for a map. Yet, factor values can be used directly for plotting positions. For instance, Leydesdorff<sup>23</sup> directly plotted factor values (based on citation counts) to distinguish between pairs of his 18 factors describing the SSCI journal set.

We are also interested in algorithms that are capable of generating a map of science based on papers rather than journals. Paper-level maps are aimed at a different user groups (individual researchers interested in navigating the domain of research communities). Paper-level maps require matrices that are dramatically larger (a disciplinary map is on the order of a 10,000 square matrix; a paper map is on the order of a million square matrix). Paper-level maps are also far more difficult to validate. However, validating a set of algorithms at the smaller scale (e.g. journal-level maps) gives us confidence that the same algorithms are a reasonable starting point for the larger scale (e.g. paper-level maps). Layout routines capable of handling these large data sets include Pajek<sup>27</sup>, which has recently been used on data sets with several thousand journals by Leydesdorff<sup>22, 23</sup>, and which is advertised to scale to millions of nodes; self-organizing maps<sup>28</sup>, which can scale, with various processing tricks, to millions of nodes<sup>29</sup>; and the bioinformatics algorithm LGL<sup>30</sup>, capable of dealing with hundreds of thousands of nodes, which uses an iterative layout as well as data types and algorithms from the Boost Graph Library<sup>31</sup>.

We chose to use VxOrd<sup>32</sup>, a force-directed graph layout algorithm, over the other algorithms mentioned, for several reasons. VxOrd improves on a traditional force-directed approach by employing barrier jumping to avoid trapping of clusters in local minima, and a density grid to model repulsive forces. Because of the repulsive grid, computation times are order  $O(N)$  rather than  $O(N^2)$ , allowing VxOrd to be used on graphs with millions of nodes. VxOrd also applies edge cutting criteria, which leads to graph layouts exhibiting both local (orientation within groups) and global (group-to-group) structure. The combination of the initial node and edge structure and cutting criteria thus determine the number, size, shape, and position of natural groupings of nodes. These groupings of nodes are often not circular in shape, but can be elongated or semi-continuous (and look like ridges in a landscape type visualization). VxOrd has been used in a variety of published studies<sup>33-36</sup> ranging into the tens of thousands of nodes, and in as yet unpublished studies of over a million nodes.

We used the VxOrd routine with each of the eight similarity matrices to generate eight graphs, or maps of science. It is important to note that we did not use the full similarity matrices to generate these maps. In previous work, we discovered that more accurate layouts could be generated if we used only the

largest 15 similarities per journal<sup>1</sup>. Thus, we culled the similarity files to include only the top 15 similarity pairs per journal, and these were used to create the maps. The eight different maps are shown in Figure 1.

## Analytical Results

### *Validation of Clusters*

Validation of science maps is a difficult task. In the past, the primary method for validating such maps has been to compare them with the qualitative judgments made by experts, and has been done only for single-discipline-scale maps (see the background section of Klavans & Boyack<sup>1</sup> for more discussion). The issue is much more problematic at the scale of the whole of science. Human evaluation appears to be impossible, as the days in which one scientist was a leading expert in all areas of science have passed. Patch-working smaller validated areas of science into a map of ‘all of science’ might work. However, human judgment is highly subjective and combining tens or hundreds of individually validated maps might turn out to be task with a too high computational complexity to be accomplished.

A more pragmatic approach is to use the ISI journal classifications to evaluate the validity of the journal similarity measures and the corresponding maps. The ISI journal classification system, while it does have its critics, is based on expert judgment and is widely used. In principle, users would expect that pairs of journals with high similarity should be in the same ISI category. Journals in the same cluster of a journal mapping should have the same ISI category assignments. These assumptions are used to validate and compare the eight different similarity measures and corresponding graph layouts or maps.

In our previous work with the current data set, and the same similarity measures and maps from Figure 1, we investigated local accuracy and the effects on accuracy of reducing dimensionality with VxOrd<sup>1</sup> using the ISI category assignments as a reference basis. We found that, counterintuitively, use of VxOrd algorithm to convert similarities to map positions actually increased local accuracy. We also found that four of the inter-citation measures had roughly comparable local accuracy at 80% journal coverage, and recommended the *IC-RFavg* measure as the best overall measure. In this work we focus on structural accuracy or the validity of the global structure of the solution space. To make quantitative comparisons of our eight maps of science, we implement a mutual information method recently used to distinguish between gene clustering algorithms<sup>37</sup>. This mutual information method requires a reference basis, for which we use the ISI journal category assignments.

To employ the method of Gibbons and Roth<sup>37</sup> we need to do a clustering of each of the maps. VxOrd gives (x,y) coordinate positions for each node, but does not assign cluster numbers to the nodes. Thus, k-means clustering was applied to each of the maps in Figure 1. Other clustering methods (e.g. linkage or density-based clustering) could have been used. However, given that the reason for validation was to establish the relative validity of the different similarity measures and resulting maps, we chose the easy, accessible, and relatively fast k-means algorithm for this part of the study.

The method for computing how similar each ordination was to the ISI categories is as follows:

1. The k-means routine in MATLAB was run with the (x,y) locations from each map as input. Given that k-means is stochastic (different runs will produce different cluster assignments), k-means clustering was run three times for each ordination for 100, 125, 150, 175, 200, 225, and 250 clusters. We used a maximum of 250 clusters to bound the 205 categories used by ISI. It is not known a priori how many clusters were best for each similarity metric. Thus, we varied the number of clusters to provide a reasonable range over which to compare results.
2. Calculation of a quality metric from the cluster assignments was done following the method of Gibbons and Roth<sup>37</sup>. Here, a contingency matrix of clusters vs. labels (i.e., the ISI category assignments for each journal) was calculated for each k-means clustering solution. Mutual information values (MI) were calculated as:

$$MI(X,Y) = H(X) + H(Y) - H(X,Y) ,$$

where H is defined by Shannon's formula for entropy:

$$H = - \sum P_i \log_2 P_i ,$$

and the  $P_i$ 's are the probabilities of each [cluster, category] combination. In our case, X is the known category assignments (one journal to potentially multiple categories) from ISI, and Y is the calculated cluster assignments from k-means. A Z-score was then calculated from the mutual information values as:

$$Z = (MI_{\text{real}} - MI_{\text{random}}) / S_{\text{random}} ,$$

where the random values  $MI_{\text{random}}$  and  $S_{\text{random}}$  (standard deviation of  $MI_{\text{random}}$ ) were computed from 5000 randomly assigned [cluster, category] distributions. Since  $MI_{\text{random}}$  and  $S_{\text{random}}$  vary with the number of clusters, these values were calculated for the different numbers of clusters and applied appropriately. Uniform cluster sizes were assumed for the random value calculations. A Z-score of zero indicates a random distribution. Higher Z-scores indicate a further distance from random assignment.

This method is quite similar to the probabilistic entropy method used by Leydesdorff<sup>38, 39</sup> in that our  $MI(X,Y)$  is equivalent to Leydesdorff's  $H_0$ , and in both cases the values are used as metrics for clustering. Leydesdorff uses small information sets (order of tens to hundreds), and calculates the grouping with the maximum  $H_0$  by recursively looking at all possible groupings. In theory, that technique could be used here to generate a most accurate clustering, but it would be computationally very expensive, and would not give us a 2-D "map" of science. Given our need for a 2-D map, we calculate mutual information and Z-scores for fixed group sizes (the k-means outputs) for a larger information set, and compare Z-scores over a range of group sizes.

Average Z-scores for each map at each clustering level are given in Figure 2. The *CC-Raw* map clearly performs the worst. The Z-scores for all other measures are near or above a value of 350, indicating that all of these measures give maps that are far from random. The *IC-Pearson* map gives the highest Z-score over nearly the entire range of cluster solutions. It is only at the higher end, from 200 through 250 clusters, that the *IC-Jaccard* map has a Z-score comparable to that of the *IC-Pearson*. If both maps based on raw counts (i.e., *CC-Raw* and *IC-Raw*) are excluded, for 175 through 250 clusters, the other six maps have Z-scores within 3.8% of each other. Hence, based on Z-scores it is likely that any of the six would be a suitable choice as the basis for an accurate map of science.

In order to choose the best map to characterize further, we combine the Z-score results above with results from our previous study<sup>1</sup>, and with a qualitative description of how well the clustering would enable visual presentation for management purposes. The combined results are listed in Table 1.

The results can be split into two categories: those for inter-citation-based maps, and those for co-citation-based maps. Inter-citation-based maps can only be used to map science within the boundaries of the ISI journal list, while co-citation-based maps can include journals, conferences, books, etc., outside the ISI citing journal list. Many institutions (including Sandia) have a significant portion of their publication output in non-journal publications or journals not covered by ISI, and may thus wish to base a map of science on more than just the ISI list of journals. An example from information science illustrates the value of a co-citation based map. The publication *ANNU REV INFORM SCI* appears in most information science maps done to date, but does not appear in our year 2000 maps. Due to a change in indexing year protocol, from volume 34 in 1999 to volume 35 in 2001, *ANNU REV INFORM SCI* is not listed in the 2000 year citing data, despite the fact that it has been published and indexed continually. A co-citation-based map with journal titles expanded beyond the citing list would have included this very important information science publication.

**Table 1. Summary of validation results for maps based on eight similarity measures.**

Measure	Local accuracy @ 95% coverage <sup>1</sup>	Scalability <sup>1</sup>	Z-score for 200 clusters	Clustering (qualitative)
IC-Raw	60.1%	High	360.0	Too few, loose
IC-Cosine	80.2%	High	381.3	Good balance
IC-Jaccard	79.5%	High	387.1	Good balance
IC-Pearson	71.7%	Low	386.5	Too tight
IC-RFavg	80.2%	High	373.3	Good balance
CC-Raw	25.6%	High	294.9	Too few, loose
CC-Pearson	65.3%	Low	377.0	Too tight
CC-K50	71.4%	High	376.6	Good balance

For a co-citation-based map, the *CC-K50* measure is a clear winner for several reasons. Although the Z-score for the *CC-K50* is nearly identical to that of the *CC-Pearson*, the K50 measure is scalable to much larger numbers of nodes, while the Pearson is a full  $N^2$  calculation, and cannot easily scale much higher than the 7000 nodes used here. The *CC-K50* map is a visually well-balanced map with a good distribution of cluster sizes and positions (see Figures 1 and 3). By contrast, the *CC-Pearson* map appears very stringy; clusters are very dense with less visual differentiation between disciplines, and thus not as suitable for presentation. The *CC-K50* map also has a higher degree of local accuracy <sup>1</sup>.

If a co-citation-based map is not needed, then we revert to an inter-citation-based map, three of which slightly outperform the *CC-K50* map in terms of Z-score. Of these three, *IC-Cosine*, *IC-Jaccard*, and *IC-Pearson*, we choose to further characterize the *IC-Jaccard* as our best map due to its slightly higher Z-score, realizing that the Cosine map is in a virtual dead heat statistically, and the Pearson map only somewhat less in local accuracy.

### The Global Structure of Science

Detailed maps of the best co-citation (*CC-K50*) and inter-citation (*IC-Jaccard*) maps are shown in Figures 3 and 4 respectively. For both cases the maps were explored interactively using VxInsight <sup>33</sup> and were labeled by hand using short terms to describe the disciplines that dominate clusters of journals within the maps. Seven larger labels designate higher-level major fields within the sciences.

The order of major fields in Figure 3 follows an intuitive pattern as one moves clockwise around the map: *Mathematics*, *Physics*, *Chemistry*, *Earth Sciences* (including Biological, Plant, and Animal Sciences), *Medicine*, *Psychology*, and *Social Sciences*. This is nearly identical to the pattern shown by the category map recently published by Moya-Anegón and associates <sup>9</sup>, see Figure 2. In their case, *Earth Sciences* and *Medicine* are at roughly the same radial position with *Medicine* on the outside. The fine structure of the map is also revealing. Engineering disciplines are near *Physics* and *Chemistry*. Interfacial disciplines appear to be reasonably placed. For example, Public Health lies between *Medicine* and *Psychology*, Economics is at the interface between *Social Sciences* and *Mathematics*, Applied Math lies between *Mathematics* and *Physics*, Physical Chemistry is between *Physics* and *Chemistry*, and two areas of Biochemistry lie between *Earth Sciences* and *Chemistry* and *Medicine*. In general, the more insular fields lie toward the outside of the map, and those with more interdisciplinary linkages are toward the center.

The inter-citation-based (*IC-Jaccard*) map of Figure 4 depicts very similar phenomena. The pattern shown by the seven major fields is the same as for the co-citation-based map. However, there are modest differences between the two maps as well. For example, Geological Sciences are outside of *Chemistry* on the *IC-Jaccard* map, while they are inside of *Chemistry* on the *CC-K50* map. Information and Library Sciences (LIS) and Entomology are at the outside edges (top and bottom, respectively) of the *IC-Jaccard* map, while they are both midway between the edge and center of the *CC-K50* map. Differences such as

these between the maps at the discipline level are likely due to fine-scaled differences between the co-citation and inter-citation patterns. Yet, the overall consistency between the co-citation and inter-citation-based maps of science suggests the general structure described here is robust.

The maps in Figures 3 and 4 show the structure of science in a very general way, simply through relative positioning of disciplines and fields. However, true structure and dependency are best shown through linkages. Figure 5 shows the *IC-Jaccard* map at the disciplinary level. Clusters of journals from the map in Figure 4 were identified by hand by one of the authors, resulting in a total of 212 clusters covering 7,000 of the 7,121 journals. Groups of two or three journals not near a major cluster are not accounted for. Cluster positions in the disciplinary map of Figure 5 are the average positions of the constituent journals for each cluster.

The *IC-Jaccard* disciplinary map of science shows many facets of the structure of science. First, the size of each journal cluster represents the number of journals in the cluster, and thus the relative size of disciplines. This could be determined from Figure 4 as well, but not as easily or precisely. Second, the independence or insularity of each discipline has been calculated and color coded in the map. Here, independence is calculated using the equation

$$F_{i,j} = \frac{C_{i,j}}{\sum_j C_{i,j}},$$

where  $C_{i,j}$  is the number of times cluster  $i$  (file year 2000) cites cluster  $j$  (all years). Thus, independence, or  $F_{i,i}$ , is simply a self-citation fraction at the cluster level.

One of the artifacts of many graph layout routines, including VxOrd, is that highly linked nodes will remain near the center of the graph, while sparsely linked nodes will tend to move to the outer edges of the graph. This phenomenon can also be true for subgraphs within the full graph. In general, we would thus expect the more independent disciplines to appear near the outer edges of the map, and those that are less independent, or more interdisciplinary, to be nearer the center. Figure 5, created with Pajek<sup>27</sup>, shows that this is indeed the case. Few of the darkest clusters are near the center of the graph. Independence also varies by major field. Most of the disciplines within the *Social Sciences* have high independence; disciplines in *Physics*, *Chemistry*, and *Earth Sciences* are less independent than those in the *Social Sciences*, and those in *Medicine* are even less independent. Disciplines within *Psychology* are more independent than those in *Medicine*, but less independent than those in the *Social Sciences*.

Dependency structure is shown in Figure 5 as the arrows between disciplines. Of the 13,502 individual  $F_{i,j}$  between the 212 disciplines that could be superimposed on the *IC-Jaccard* disciplinary map, only the 311 where  $F_{i,j} > 0.075$  are shown. Use of this threshold value is arbitrary, but serves to show the major structural dependencies in science. Arrow tips point to cited clusters, and arrows denote a diffusion of information from cited clusters to citing clusters.

Biochemistry is clearly one of the hubs of science. It is the largest discipline, both in terms of numbers of journals and numbers of citations. Its membership includes five well-known multidisciplinary journals *SCIENCE*, *NATURE*, *P NATL ACAD SCI USA*, *CELL*, and *J BIOL CHEM*, which undoubtedly account for part of the influence of this discipline. Fully one-quarter of the other disciplines (52) spend more than 7.5% of their citations on biochemistry. Citing disciplines come primarily from *Medicine*, *Earth Sciences*, and *Chemistry*. Biochemistry is truly an interdisciplinary hub.

Other hubs, identified as those disciplines with many arrows pointing to them, are less interdisciplinary than Biochemistry. These are central to their own fields, with few strong links to disciplines in other fields, and include General Medicine, Ecology/Zoology, Social Psychology, Clinical Psychology, Organic Chemistry, and the dual General Physics+Applied Physics. However, it can be seen that those few strong links to disciplines in other fields are what ties the whole of science together and gives it its overall structure. Social Sciences are tied to Psychology through various specialties in Psychology; Medicine is tied to Psychology directly and through Neurology; Biochemistry links directly

to Medicine and Chemistry; Chemistry is tied to Physics through their interfacial disciplines Physical Chemistry and Materials Science; and Physics is tied to Mathematics through Applied Math. The most tenuous link is from Mathematics to the Social Sciences. Although not shown in Figure 5, once the threshold is lowered, dependencies appear linking the two fields through Computer Science and Education.

### The Local Structure of Science

As mentioned previously, we favor the use of VxOrd for graph layout in that it results in maps with both global and local structure. One example of local structure is shown in Figure 6, which zooms in on the two “Information & Library Science” clusters at the top of Figure 5. The Finance cluster shown between the two LIS clusters in Figure 5 is not included in Figure 6 since there were no direct linkages between its journals and any of the journals in the two LIS clusters. Rather, the Finance cluster is linked down to the History of Social Sciences cluster and to the larger Finance cluster below it.

Features in Figure 6 are similar to those in the previous figure. Node size indicates the number of papers published by a journal in the year 2000. Node color is based on a figure of impact, specifically the number of citations to the 1998-2000 issues of the journal divided by the number of papers published in the 2000 issues of the journal. Darker colors denote higher impact. Edges or lines between journals denote the strength of the Jaccard coefficient between the two journals, with darker edges denoting a larger similarity coefficient. Figure 6 shows the clear distinction between two main areas within the LIS discipline. Although there are relationships between journals in the two clusters, the dominant relationships (darkest edges) are within clusters. The journals in the cluster at the upper left all focus on libraries and librarians and their work, while those in the cluster at the lower right are all focused on advances in information science. This latter group includes *SCIENTOMETRICS*, *JASIST*, and *J DOCUMENTATION*. Journals in the upper half of the cluster at the right all deal with electronic information.

Many other journals from ISI’s “Information & Library Science” category do not cluster in either of the two clusters shown here. For example, *MIS QUARTERLY*, *INFORMATION & MANAGEMENT*, *INT J INFORM MANAGE*, and several other information management journals are found in the Computer Science cluster along with journals on software systems. Although the word INFORMATION is found in the titles of most of these journals, citation patterns suggest that they would be better classified with software system journals in Computer Science.

The discipline map of Figure 5 also gives us a chance to examine some of the ISI journal categories. A close comparison of the cluster labels on the map with the list of ISI journal categories shows that some categories are represented many times, while others are not represented at all. An example of the former case is that of the category *Mathematics, Applied*, which appears four times on the map, twice as the dominant category for a cluster (single label), once jointly with *Engineering, Mechanical*, and once jointly with *Computer Science, Theory*. All four clusters are near the edge of the map at the top right. Examination of the journals comprising each cluster shows that of the two pure *Mathematics, Applied* clusters, one deals with linear numerical methods, and the other deals with non-linear numerical methods. The joint cluster with *Engineering, Mechanical* is focused on engineering applications such as computational mechanics and finite element methods, and the joint cluster with *Computer Science, Theory* is focused on applied algorithms, particularly in cryptology and discrete mathematics. Interestingly, the CC-K50 map breaks the *Mathematics, Applied* journals into the same four clusters. Thus, the use of more than one journal category for applied mathematics journals could easily be justified by the current citation information.

There are several medium-sized ISI categories (35-80 journals) that do not appear as labels in Figure 5, including *Behavioral Sciences*; *Biochemical Research Methods*; *Computer Science, Interdisciplinary Applications*; *Social Issues*; and *Social Sciences, Interdisciplinary*. For each of these categories, a query of the IC-Jaccard map in Figure 4 shows that the journals are spread out across many clusters. Queries to the CC-K50 map show the same behavior. This begs the question of whether these categories are

necessary, given that they appear not to be specific based on current citation patterns. Journals within these listed categories could be classified with the other journals with whom they cluster. Further investigation shows that only 32 of the 244 journals within these categories are singly assigned to the category. The other 212 are assigned to multiple categories. It is no wonder, therefore, that journals in these categories were found spread throughout the map, and in fact attests to the robustness of the mapping process and results.

## Conclusions and Implications

This paper presents a novel map of the global structure of all of science. The map was generated from the combined SCI and SSCI files for the year 2000, includes 7,121 journals that appeared as both citing and cited journals, and shows the relation of these journals based on their citation patterns.

Eight different similarity measures were calculated from the combined SCI/SSCI data and the resulting journal-journal similarity matrices were mapped using VxOrd. The eight maps were then compared based on two different accuracy measures, the scalability of the similarity algorithm, and the readability of layouts (clustering). The two best measures were then used to generate maps of sciences that provide a global view of the structure of science, and that can also be used to examine specific areas of science in more detail. Detailed interpretations of the maps are given.

The disciplinary map presented here is designed to support decision making, e.g., the allocation of resources among/between disciplines. However, it also promotes the understanding and teaching of the general structure of science. Although it is a static map, and thus does not reveal how disciplines are born, evolve, or die, it is the broadest static map of science published to date, and thus constitutes another step forward in the study of the structure and evolution of science by scientific means.

Ultimately, maps of science could be based on a much broader set of data (such as scholarly journals, proceedings, patents, grants, and funding opportunities). Alternative units of analysis (clusters of journals, papers, authors, funding sources and/or text) could be generated to address different user needs. Instead of being static, dynamic maps could be generated that show high activity, scientific frontiers, and merging/splitting of scientific areas.

We believe that these global maps of science will enable researchers and practitioners to search for and benefit from results and expertise across scientific boundaries, counterbalancing the increasing fragmentation of science and the resulting duplication of work. These maps of science could also serve as a common data reference system for scholars from all disciplines – analogous to how geologists use the earth itself to index and retrieve data, documents, and expertise, or to how astronomers use astronomical coordinates. If such a reference system were to exist, all researchers could have a bird's eye view of the landscape of science, and could use this landscape to navigate to areas of interest, to communicate results, and to announce discoveries. This global view – as opposed to doing keyword based searches on the Web or in digital libraries with very little information about the coverage of the queried database or the quality of the result – would give many more people access to scientific results. This, in turn, would lead to more informed citizens and a faster spread of results and practices benefiting all of humanity.

Obviously, the generation of dynamic maps of all of science that merge data from diverse, heterogeneous sources will require an infrastructure that can integrate multiple data streams (e.g., papers, patents, grants) from the best scholarly databases in existence. The data streams need to be processed and analyzed on the fly to arrive at real time visualizations of our collective scholarly results and activities. While infrastructures that process terabytes of data are common in biology and physics, they are not in existence in the social sciences. However, all sciences would benefit from a global map of science such as that described here, and we hope many more researchers will decide to contribute to their design, validation, and implementation.

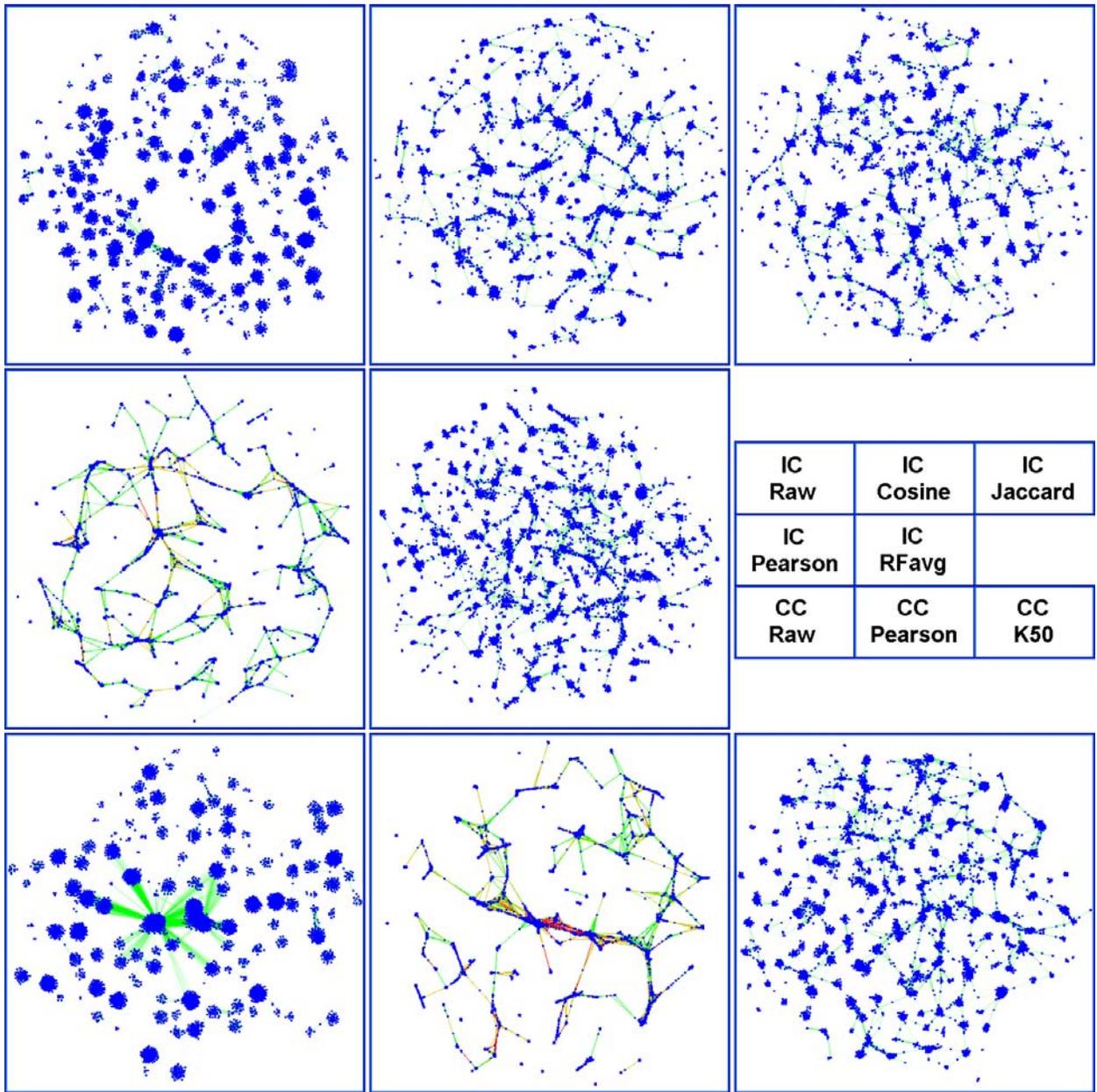
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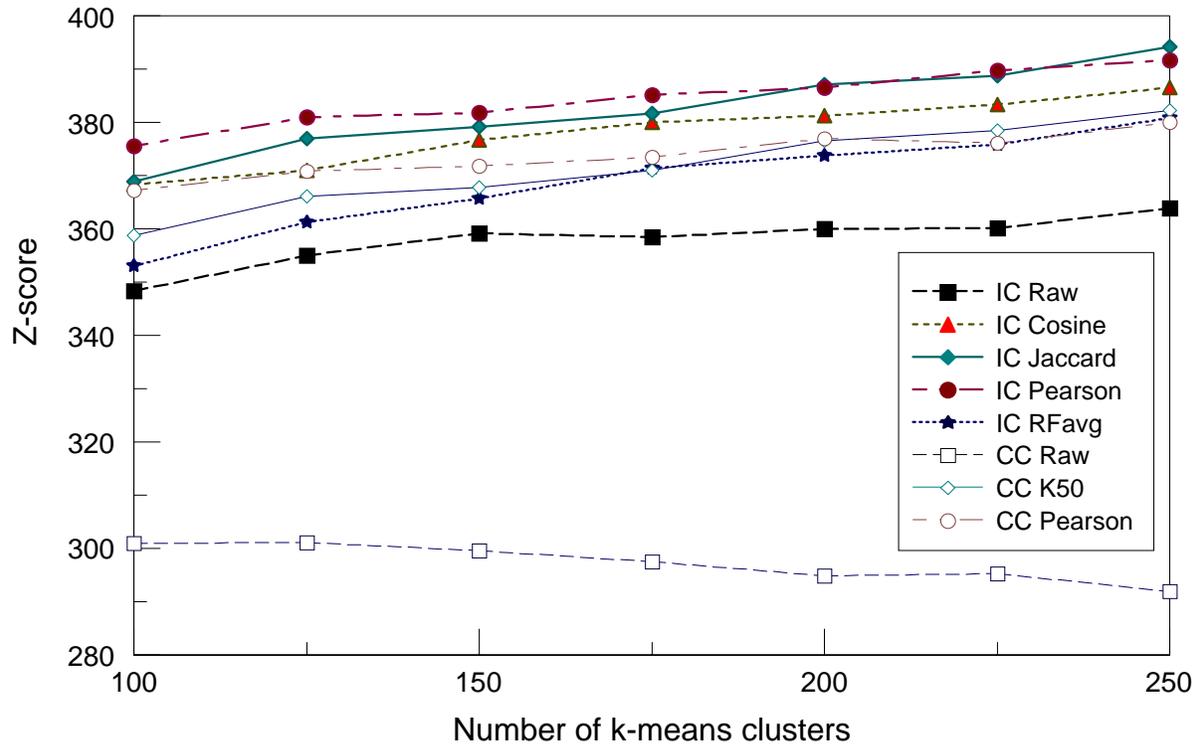
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**Figure 1.** Maps of science generated from eight different journal-journal similarity measures. Dots represent journals. Lines represent the edges remaining at the end of the VxOrd ordinations. Similarity measures corresponding to the various map panels are listed in the middle right panel.



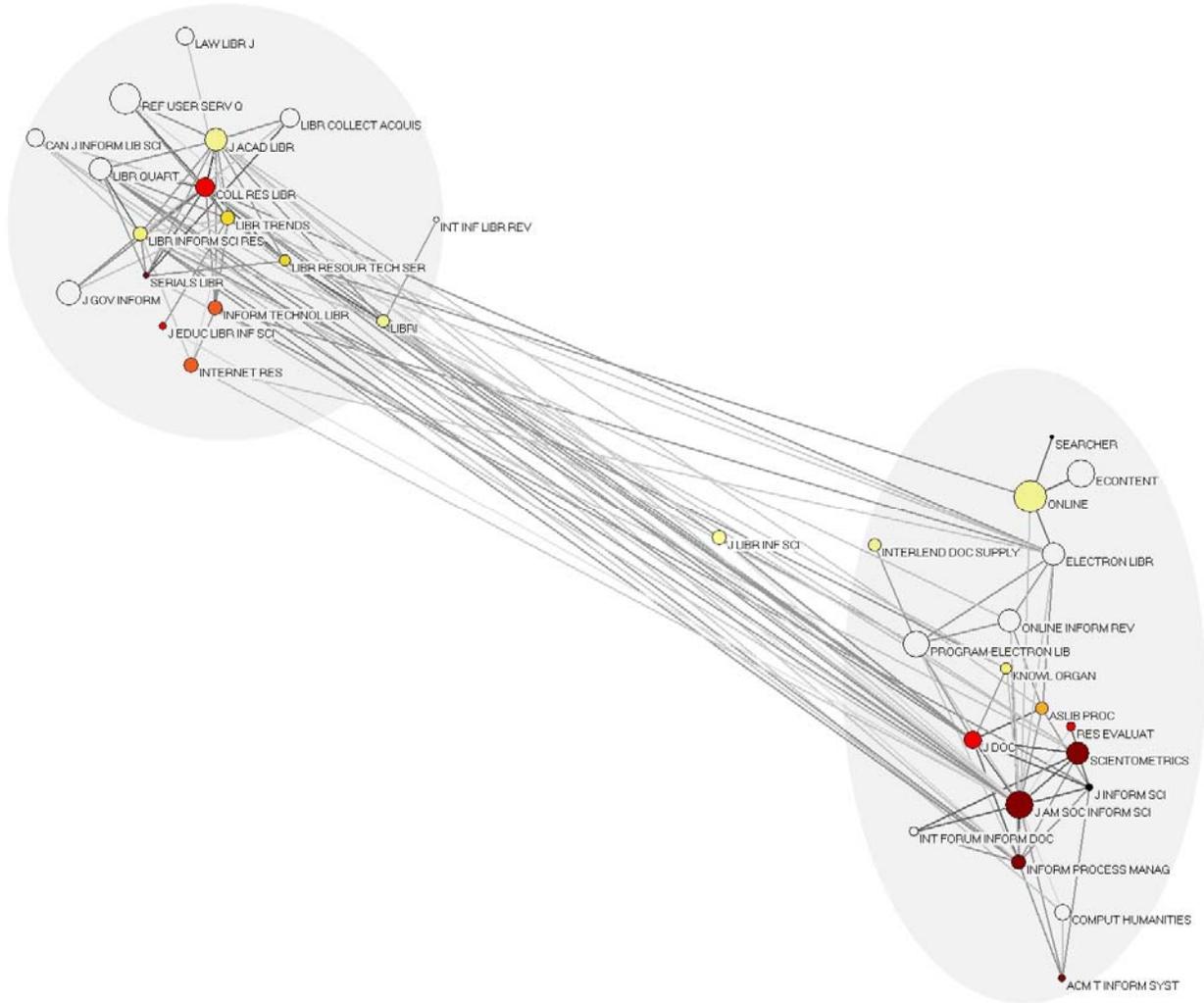
**Figure 2.** Z-scores for the maps generated from each of eight similarity measures. Z is a measure of distance from randomness, where a score of zero indicates a random distribution.







**Figure 5.** Map of the backbone of science with 212 clusters comprising 7000 journals. Clusters are denoted by circles that are labeled with their dominant ISI category names. Circle sizes (area) denote the number of journals in each cluster. Circle color depicts the independence of each cluster, with darker colors depicting greater independence. Dominant cluster-to-cluster citing patterns are indicated by arrows. Arrows show all relationships where the citing cluster gives more than 7.5% of its total citations to the cited cluster, with darker arrows indicating a greater fraction of citations given by the citing cluster. Some cluster positions have been adjusted slightly to avoid covering labels for neighboring clusters. The gray box near the top shows clusters detailed in Figure 6.



**Figure 6.** Detailed view of journals comprising the two “Information & Library Science” clusters from the top of the map in Figure 5. Journal size in number of papers published in 2000 is indicated by the size of each circle. Circle color is based on a measure closely related to the impact factor, with darker color signifying higher impact. Edges between journals show all of the top15 Jaccard relationships within the set of journals shown, with darker edges signifying a larger Jaccard coefficient. Some journal positions have been adjusted slightly to avoid covering labels for neighboring journals.